

# CRS Self Certification | Controlling Person(s)

*(Tax residents outside Australia only)*

**Please read before completing this form:**

Regulations based on the OECD Common Reporting Standard (“CRS”) require Financial Institutions such as Magellan, to collect and report certain information about an Account Holder’s tax residence. If you are tax resident of any country outside Australia, we may be legally required to pass on the information provided in this form (and other financial information about the financial account(s) to which this form relates), to the Australian Tax Office (“ATO”). The ATO may exchange this information with the tax authorities of other jurisdictions.

**Instructions:**

- Please complete this form for all Controlling Persons (who are tax resident of any country outside Australia) of an Account Holder that is:
  - (1) a Passive Non-Financial Entity (“Passive NFE”)
  - (2) an Investment Entity located in a Non-Participating Jurisdiction managed by another Financial Institution.
- Please complete a separate form if there are more than two Controlling Persons who are tax resident of any country outside Australia.
- The Account Holder can complete this form for all Controlling Persons

**To help you complete this form, please refer to the [‘CRS Self Certification – Key Definitions’](#).**

## PART 1 – CONTROLLING PERSON INFORMATION

**Controlling Person 1**

Name (in full)

Current residential street address

Date of birth (dd/mm/yyyy)

City/town of birth

Country of birth

**Controlling Person 2**

Name (in full)

Current residential street address

Date of birth (dd/mm/yyyy)

City/town of birth

Country of birth

## PART 2 – TAX RESIDENCE

Please enter the country of foreign tax residence for each Controlling Person. If they are tax resident in more than one country outside Australia, please detail all countries and associated tax identification numbers (“TIN”).

*A TIN is a unique combination of letters and/or numbers assigned to individuals by a government, or its tax authorities, which is used to identify individuals for the purposes of administering taxes. In some countries, this may be a number that is specifically referred to as a TIN, while other countries may use other numbers (such as a social insurance number).*

**Controlling Person 1**

Country of foreign tax residence (1)

TIN (1)\*

TIN Unavailable (1)\*

Country of foreign tax residence (2)

**Controlling Person 2**

Country of foreign tax residence (1)

TIN (1)\*

TIN Unavailable (1)\*

Country of foreign tax residence (2)

TIN (2)\*

TIN (2)\*

TIN Unavailable(2)\*

TIN Unavailable(2)\*

\* If no TIN is available, one of the following reasons (A, B or C) must be provided in the boxes above:

A - The country/jurisdiction does not issue TINs to its residents.

B - The Account holder is unable to obtain a TIN or equivalent – please explain why.

C - No TIN is required – only select if the domestic law of the jurisdiction does not require the collection of TINs issued by such a jurisdiction.

If the Entity is tax resident in any additional foreign countries, please provide details (country of foreign tax residence / TIN) as an attachment.

**PART 3 – TYPE OF CONTROLLING PERSON**

Please select the type of Controlling Person below.

Type of Controlling Person	Controlling Person 1	Controlling Person 2
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**Controlling Person of a Company**

Control by ownership (direct or indirect) including a shareholder who owns, holds or controls 25% or more of the issued capital

Control by other means

Senior Managing Official

**Controlling Person of a Trust**

Settlor

Trustee

Appointer

Protector

Beneficiary

Other (please specify): \_\_\_\_\_

**Controlling Person of a legal arrangement (other than a company or trust)**

Governing member of an association or co-operative

Beneficiary

Partner

Other (please specify): \_\_\_\_\_

**PART 4 – DECLARATIONS AND SIGNATURES**

- I/We certify that the countries of foreign tax residence provided in this form, represent all foreign countries in which the controlling person(s) is/are considered tax resident.
- I/we acknowledge and agree that information contained in this form and information regarding the Controlling Person(s) and any account(s) may be reported to the ATO, and the ATO may exchange this information with the country or countries in which the Controlling Person(s) may be resident for tax purposes.
- I/we undertake to advise Magellan promptly of any change in circumstance which causes the information contained herein to become incorrect and to provide a suitably updated Certification within 30 days.
- I/we certify that I/we am/are authorised to sign for the Controlling Person(s) in respect of all the account(s) held by the Account Holder to which this form relates.
- **I/we declare that all statements made in this declaration are, to the best of my/our knowledge and belief, correct and complete.**

Name

Name

Date

Date

Capacity

Capacity

If you are not the Controlling Person, please indicate the capacity in which you are signing the form.

Signature

Signature

[Signature box]

[Signature box]

**Please send your completed form to our unit registry via email, fax or mail.**

**Email:** [magellanfunds@apexgroup.com](mailto:magellanfunds@apexgroup.com)

**Fax:** +61 2 9247 2822

**Mail:** Apex Fund Services - Unit Registry  
GPO Box 143, Sydney NSW 2001

For further information, please contact Apex Fund Services on 1300 127 780 or +61 2 8259 8566 (international) or 0800 787 621 (NZ).

Your tax adviser may be able to assist you in answering specific questions on this form. Alternatively you can find further information on the [OECD Automatic Exchange of Information Portal](#).