

CRS Self Certification | Entity

(Company, Trust, Partnership, Association, Co-operative)

Please read before completing this form:

Regulations based on the OECD Common Reporting Standard ("CRS") require Financial Institutions such as Magellan, to collect and report certain information about an Account Holder's tax residence. If you are tax resident of any country outside Australia, we may be legally required to pass on the information provided in this form (and other financial information about the financial account(s) to which this form relates), to the Australian Tax Office ("ATO"). The ATO may exchange this information with the tax authorities of other jurisdictions.

Instructions:

- All Entity Account Holders must complete this certification except Australian Retirement Funds e.g. SMSFs and regulated super funds.
- Corporate Trustees must complete this certification on behalf of the Trust (as the Account Holder).
- If any of the Account Holder's Controlling Persons are tax resident of any country outside Australia, a Controlling Person(s) CRS Self Certification form must also be completed. Refer to PART 4 of this form for information on who is considered a Controlling Person.

To help you complete this form, please refer to the '[CRS Self Certification – Key Definitions](#)'.

PART 1 – ACCOUNT HOLDER IDENTIFICATION
Legal Name of Entity
PART 2 – ENTITY TYPE

Please complete section (a) if the Entity is a Financial Institution OR section (b) if the Entity is a Non-Financial Entity.

(a) Financial Institution

Please select the Financial Institution's status from one of the options below:

- (i) Depository Institution
- (ii) Specific Insurance Company
- (iii) Custodial Institution
- (iv) An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution
- (v) Other Investment Entity

(b) Non-Financial Entity

Please select the Non-Financial Entity's (NFE) status from one of the options below:

- (i) Active NFE – a corporation the stock of which is regularly traded on an established securities market, or a corporation which is a related entity of such a corporation.

Name of securities market

Name of related entity (if applicable)

- (ii) Active NFE – a government entity, central bank, or international organization.
- (iii) Active NFE – other – such as a company operating an active trade or business, that is not described above.
- (iv) Passive NFE - An entity earning 50% or more of its revenue from investment activities (e.g. rent, dividend, interest) or 50% or more of the entity's assets are used to generate investment income.
- (v) Charitable organisation registered in Australia with the Australian Charities and Non-for-Profit Commission (ACNC)

Provide ABN:

PART 3 – TAX RESIDENCE

(a) Is the Entity a tax resident of any country outside Australia? Yes No

If Yes, please provide the Entity's country of foreign tax residence. If the Entity is a tax resident in more than one foreign country, please detail all countries and associated tax identification numbers ("TIN").

If the Entity is not tax resident in any country (e.g. because it is fiscally transparent), please indicate that below and provide its place of effective management, or country in which its principal office is located.

Country of foreign tax residence (1)

Country of foreign tax residence (2)

TIN (1)*

TIN (2)*

TIN Unavailable (1)*

TIN Unavailable (2)*

** If no TIN is available, one of the following reasons (A, B or C) must be provided in the boxes above:*

A - The country/jurisdiction does not issue TINs to its residents.

B - The Account holder is unable to obtain a TIN or equivalent – please explain why.

C - No TIN is required – only select if the domestic law of the jurisdiction does not require the collection of TINs issued by such a jurisdiction.

If the Entity is tax resident in any additional foreign countries, please provide details (country of foreign tax residence / TIN) as an attachment.

PART 4 – CONTROLLING PERSONS

You must complete this section if you have ticked (a)(iv) or (b)(iv) Passive NFE in PART 2 i.e. you are:

- an investment entity located in a Non-participating jurisdiction and managed by another Financial Institution.
- are a passive Non-Financial Entity (NFE)

Controlling Persons per entity type are as follows:

Entity Type	Controlling Persons
(1) Trust	All beneficiaries, trustees, settlors, protectors and any other natural person (or persons) exercising ultimate effective control over the trust e.g. appointer. Note: If any party is a company, then please refer to the definition of Controlling Persons in point (2) below.
(2) Company	All beneficial owners i.e. individuals that control the company or own (directly/indirectly) 25% or more of the company's issued share capital.*
(3) Partnership	All partners of a partnership
(4) Association / Co-operative	All governing members

**If no natural person meets the threshold, the Controlling Person will be the natural person who holds the position of senior managing official for the entity.*

(a) Are any of the Entity's Controlling Persons tax resident of any country outside Australia? Yes No

If 'yes' you must complete a "[Controlling Persons CRS Self Certification Form](#)" for each Controlling Person who is a tax resident of any country outside Australia.

PART 5 – DECLARATIONS AND SIGNATURES

- I/We certify that the countries of foreign tax residence provided in this form, represent all foreign countries in which the Account Holder is considered tax resident.
- I/We acknowledge and agree that the information contained in this form and information regarding any reportable account(s) may be reported to the ATO, and the ATO may exchange this information with the country or countries in which the Account Holder may be resident for tax purposes.
- I/We undertake to advise Magellan promptly of any change in circumstance which causes the information contained herein to become incorrect and to provide a suitably updated Certification within 30 days.
- I/We certify that I/We am/are authorised to sign for the Account Holder(s) in respect of all the account(s) to which this form relates.
- **I/We declare that all statements made in this declaration are, to the best of my/our knowledge and belief, correct and complete.**

Name	Name
Date	Date
Capacity	Capacity
Signature	Signature
<input type="text"/>	<input type="text"/>

Please send your completed form to our unit registry via email, fax or mail.

Email: magellanfunds@apexgroup.com

Fax: +61 2 9247 2822

Mail: Apex Fund Services - Unit Registry
GPO Box 143, Sydney NSW 2001

For further information, please contact Apex Fund Services on 1300 127 780 or +61 2 8259 8566 (international) or 0800 787 621 (NZ).

Your tax adviser may be able to assist you in answering specific questions on this form. Alternatively you can find further information on the [OECD Automatic Exchange of Information Portal](#).